

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Epstein, Joel B.	Examiner: HUI, San Ming
Serial No: 09/433,418	Art Unit: 1617
Filed: November 4, 1999	Attorney Ref. No: 8105-013-US
Title: Topical Azathioprine for the Treatment of Oral Autoimmune Diseases	

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**SUBMISSION OF STATEMENT UNDER 37 CFR 3.73 (B)**

Dear Sir:

Further to our submission of the Revocation and Power of Attorney document on May 23, 2006, applicant submits an executed Statement Under 37 CFR 3.73(b) to evidence that the assignee, who executed the Power of Attorney document, is the owner of the entire right, title, and interest of the above invention.


Enclosed is an executed Statement Under 37 CFR 3.73(b) stating that Oral Solutions, Inc., which is a subsidiary of DOR Biopharma, Inc., is the assignee of the entire right, title, and interest of Application Serial No. 09/433,418 by virtue of an executed assignment from which a true copy is enclosed herewith.

Applicant respectfully requests the acceptance of the Power of Attorney originally submitted on May 23, 2006 to effectuate the change in attorney correspondence information.

If there are any questions or comments, Applicant's attorney may be reached at the telephone number stated below.

Respectfully submitted,

Date: August 15, 2006

  
\_\_\_\_\_  
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**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: ORAL SOLUTIONS, Inc.

Application No./Patent No./Control No.: 09/433,418 Filed/Issue Date: November 4, 1999

Entitled: TOPICAL AZATHIOPRINE FOR THE TREATMENT OF ORAL AUTOIMMUNE DISEASES

ORAL SOLUTIONS, INC.

a Corporation

(Name of Assignee)

(Type of Assignee: corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest  
(The extent (by percentage) of its ownership interest is \_\_\_\_\_ %)

in the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or a true copy of the original assignment is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Robert N. Brey

Signature

Robert N. Brey, Ph.D.

Printed or Typed Name

Chief Scientific Officer

Title

August 15, 2006

Date

847-543-7725

Telephone Number

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

ASSIGNMENT OF PATENT APPLICATION

WHEREAS, I, Joel B. Epstein, D.M.D., M.S.D., a citizen of the United States, (hereinafter referred to as "ASSIGNOR"), have invented **Topical Azathioprine For The Treatment Of Oral Autoimmune Diseases** for which application for Letters Patent of the United States of America was filed on November 4, 1999, and assigned Serial No. 09/433,418; and

WHEREAS, **ORAL SOLUTIONS, INC.**, a corporation organized and existing under and by virtue of the laws of the State of **Delaware** and having its principal place of business at **1680 Michigan Ave., Suite 700, Miami, FL 33139** (hereinafter referred to as "ASSIGNEE"), is desirous of acquiring the exclusive right, title and interest in, to and under said invention and in, to and under any Patent or similar legal protection to be obtained therefor in the United States of America, its territorial possessions and in any and all countries foreign thereto.

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR hereby sells, assigns, transfers and sets over unto the said ASSIGNEE, its successors and assigns, the full and exclusive right, title and interest to said invention and to all Letters Patent or application or similar legal protection, not only in the United States and its territorial possessions, but in all countries foreign thereto, to be obtained for said invention by said application, and to any continuation, continuation-in-part, division, renewal, substitute or reissue thereof or any legal equivalent thereof in the United States or a foreign country for the full term or terms for which the same may be granted, including all priority rights under the International Convention; and ASSIGNOR hereby authorizes and requests the Commissioner of Patents and Trademarks to issue said Letters Patent or any legal equivalent thereof to said ASSIGNEE, its successors and assigns, in accordance with this Assignment.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this Agreement;

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents in the United States or in any foreign country, which may be necessary or desirable to carry out the purposes thereof.

IN TESTIMONY WHEREOF, ASSIGNOR has set his hand and seal to this Assignment.

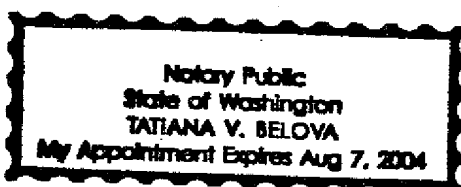
STATE OF WASHINGTON )  
 ) ss.:  
COUNTY OF King )

Joel B. Epstein  
Joel B. Epstein, D.M.D., M.S.D.

On Mar 1, 01 before me, Tatiana Belova, personally appeared  
Joel B. Epstein, D.M.D., M.S.D.,

☐ personally known to me - OR - ☒ proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.



Tatiana Belova  
Notary Public in and for said County and State